IMT Standards	Effective Date: 2015-10-30 Scheduled Review: 2016-10-30 Last Reviewed:
Government of Alberta	Type: Reference <sup>1</sup>
Standard number: A000063	

# **Enterprise Architecture Framework**

Category: Data and Information<sup>2</sup> Keywords: Architecture, GAEA, Framework, TOGAF

#### Description of Standard

The standard below outlines the Government of Alberta (GoA) standard for an enterprise architecture framework. This standard replaces the Government of Alberta Enterprise Architecture (GAEA).

An enterprise architecture framework is the set of terminology, tools, processes, standards and best practices used to achieve an organization's goals with information technology.

### Standard Specification

The GoA will adopt The Open Group Architecture Framework (TOGAF®) as the standard for the GoA EA practice and as a guiding methodology to manage and run the GoA EA Program. A Non-Commercial Corporate Licence Agreement for TOGAF® Version 9.1 has been signed between the Government of Alberta and The Open Group's Chief Operating Officer. A copy of this agreement is provided at the end of this document (Appendix B).

TOGAF has been developed through the collaborative efforts of over 300 Architecture Forum member companies. TOGAF will enable the GoA to provide an enterprise approach for designing, planning, and implementing business solutions and governing enterprise architecture.

### Where to Apply This Standard

This standard applies to all the Ministries within the Government of Alberta.

### **Authority and Exceptions**

Internal Use Only

<sup>&</sup>lt;sup>1</sup> See Appendix A for description

<sup>&</sup>lt;sup>2</sup> See separate GoA Standards Taxonomy Document for list of categories and keywords

# **Supporting Documentation**

## **References**

1. The Open Group - TOGAF http://www.opengroup.org/subjectareas/enterprise/togaf

#### Owner

Service Alberta, Service Modernization

#### Contact

GoA IMT Standards at imt.standards@gov.ab.ca

# **Additional Information**

Audience	GoA
Source	Service Alberta, Service Modernization
Sensitivity	Unrestricted
Proposed Date	2015-10-30
Proposed By	Service Alberta, Service Modernization – Christine Pastuzyk, Enterprise Architect – 780-422-8881

# Appendix A

Types of Standards	Description
Technical Standard	These are detailed, unique standards that have developed in response to government IMT policies. Technical standards are intended to be replicable, transferable, and adaptable across ministries and other government agencies. Examples of these could include address data standards or specifications for a single identifier for transacting with government electronically.
Product Standard	An IMT product or specific technology oriented standard that facilitates the task of planning for enhancements and acquisitions within the government's broad information systems environment. As a definitive list of the numerous technologies either employed or under evaluation by Workplace Technology Services, product standards are critical in establishing conformity, interoperability and interchange-ability. Examples of these could include a government- wide standard for document, record management and database, and the list of core products for government workstations.
Process Standard	An established, mandatory business practice that supports IMT projects and existing systems to improve the outcome, diminish risks, and increase reliability. Examples could include business continuity planning processes, threat risk assessment processes, etc.
Reference Standard	An IMT industry standard (either a national or international formal or de facto standard) that has been adopted for use by the Province of Alberta. A Reference Standard may be adopted either as stand-alone or as a precursor to a customized standard or policy document. Examples could include the 1024 bit RSA standard for public key encryption.

#### Appendix B

#### TOGAF<sup>®</sup> Version 9.1 Corporate License (Non-Commercial)

Copyright © 2003 - 2011, The Open Group. All Rights Reserved.

BY SIGNING AND RETURNING THIS LICENSE, YOU ARE CONSENTING TO BE BOUND BY THIS AGREEMENT. IF YOU DO NOT AGREE TO ALL THE TERMS OF THIS AGREEMENT, DO NOT INSTALL THE TOGAF DOCUMENTATION, AND DESTROY ANY COPY OF IT THAT YOU MAY HAVE.

1. LICENSE

The Open Group grants the Licensee Organization named below a non-exclusive license to use the documentation suite known as TOGAF<sup>®</sup> Version 9.1 ("the Documentation") in perpetuity, and free of charge, subject to the following conditions.

- A. NON-COMMERCIAL USE: The Licensee Organization may use the Documentation internally for any purpose not involving commercial exploitation of the Documentation. In particular, the Licensee Organization may use it to develop an information system architecture for use within that organization, or to develop derivative works (subject to the conditions listed below) to support the Licensee Organization's work in the field of IT Architecture.
- B. DERIVATIVE WORK: For the purpose of this Agreement, "derivative work" shall mean any change to the Documentation or any new document that contains any portion of the Documentation. Licensee Organization shall retain all right, title and interest in the changes or additions it makes to the Documentation, but nothing herein shall be deemed to transfer any right, title or interest in the Documentation to the Licensee Organization. Furthermore, in any derivative work, Licensee Organization shall always fully acknowledge the right, title and interest of The Open Group in the original Documentation, and shall not claim or imply that any derivative work of the Documentation is the official TOGAF Documentation.

#### 2. REDISTRIBUTION PERMITTED WITHIN THE ORGANIZATION

Redistribution of the Documentation, in part or in whole, to any permanent employee of the Licensee Organization is permitted provided:

- A. All existing trademarks and copyright notices are retained in all copies of the Documentation;
- B. In any derivative works, TOGAF Version 9.1 is acknowledged as the source; and,
  C. All existing trademarks and copyright notices and this License appear in all derived
  - supporting documentation.

For the avoidance of doubt and purposes of this license, individual contractors, who do not represent themselves or offer their services commercially as architecture practitioners, shall be regarded as "permanent employees" of the Licensee Organization.

#### 3. REDISTRIBUTION OUTSIDE THE LICENSEE ORGANIZATION

Redistribution of the Documentation, in part or in whole, is not permitted to anyone who is not a permanent employee of the Licensee Organization, except that:

- A. The Licensee Organization may reproduce parts of the Documentation in its external literature for the purpose of furthering its work in the architecture field (for example, in Requests for Product, or in other information communicated to potential service providers or product suppliers); provided that:
  - i. The literature does not in itself constitute a commercial product, or a part of a commercial product; and,
- The above copyright notice appears in all such external uses of the Documentation.
  In particular, contracting companies and individuals needing to use the Documentation in order to

perform work on the Licensee Organization's behalf must obtain their own licenses.

4. PROTECTION OF THE OPEN GROUP'S INTELLECTUAL PROPERTY RIGHTS If the Licensee Organization wishes to contract architecture services organizations or individuals other than permanent employees to undertake work on its behalf using the Documentation, the Licensee

Organization must use all reasonable efforts to ensure that such companies or individuals have a current commercial license for TOGAF Version 9.1 (which they are legally required to have, in order to undertake such work). Notwithstanding the foregoing, in the event that there is a use by a nonlicensed architecture services company or individual, then Licensee Organization has no liability. The Open Group operates a TOGAF certification program that enables companies offering products or services associated with TOGAF to make a legally binding warranty of conformance with Product Standards relating to TOGAF. The use of such certified products or services is <u>not</u> a condition of this license. However, The Open Group strongly recommends that such certification be required by the procurer of such products or services, if deemed appropriate. Details of this and other certification programs of The Open Group can be found at: http://www.opengroup.org/certification/ 5. INCLUSION IN LISTING OF LICENSEES The Open Group reserves the right to include the Licensee Organization's name in a published list of organizations that have taken out this License. DISCLAIMER OF WARRANTY 6. This Documentation is provided on an "AS IS" basis, without warranty of any kind. THE OPEN GROUP DISCLAIMS ALL WARRANTIES WITH REGARD TO THIS DOCUMENTATION, INCLUDING ALL IMPLIED WARRANTIES OF SUITABILITY AND FITNESS FOR ANY PARTICULAR PURPOSE. IN NO EVENT SHALL THE OPEN GROUP BE LIABLE FOR ANY SPECIAL, INDIRECT OR CONSEQUENTIAL DAMAGES OR ANY DAMAGES WHATSOEVER RESULTING FROM LOSS OF USE, DATA OR PROFITS, WHETHER IN AN ACTION OF CONTRACT, NEGLIGENCE OR OTHER TORTIOUS ACTION, ARISING OUT OF OR IN CONNECTION WITH THE USE OR PERFORMANCE OF THIS DOCUMENTATION. FOR AND ON BEHALF OF FOR AND ON BEHALF OF HER MAJESTY THE QUEEN IN RIGHT OF ALBERTA, as represented by the Minister Licensee Organization of Service Alberter THE OPEN GROUP Signature: Signature: Rob Godi Name: Title: Exec Director Corp Arch ? Pla Title: Date: April 30, 2014 Date: PRIMARY CONTACT FOR THE LICENSEE ORGANIZATION Title: DIRECTOR, CORPORATE ARCHITECTURE + STANDARDS ENSLEV Name: EDMONTON, ALBERTA, T662N9 3720-76 AUENNE Address: Fax: 180 638 - 594 902-4487 Mobile : 780 -Roy. ENSLEN @ GOU. AB. CA Email address: Please complete the above information on behalf of the Licensee, and FAX the completed form to: +44 870-134-8146. Copyright © 2003 - 2011 X/Open Company Ltd., trading as The Open Group. All Rights Reserved. OGAF is a registered trademark of The Open Group in the United States and other countries